



Attorney Docket No. 1010722.991103

SECTOR  
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**CERTIFICATE OF MAILING VIA FIRST CLASS MAIL**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as FIRST CLASS MAIL in an envelope addressed to:

Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on May 18, 2001

*Yvette Renée Killingham*  
Yvette Renée Killingham

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Christopher A. Rygaard

Serial No: 09/764,548 Group Art Unit: Not assigned

Filed: January 18, 2001 Examiner: Not assigned

Title: **MOBILE APPLICATION PEER-TO-PEER SECURITY SYSTEM AND METHOD**

\* \* \*

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION**

BOX MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the "Notice to File Missing Parts of Nonprovisional Application" mailed by the United States Patent and Trademark Office on February 28, 2001. The following documents are enclosed to complete the filing of the above-referenced patent application:

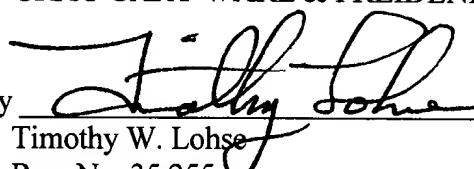
1. Missing Parts Transmittal (2 pgs)
2. Petition for Extension of Time (30-day) (2 pgs)
3. Check #477594 in the amount of \$120.00 –(Filing Fee Declaration and Power of Attorney - \$65.00; and Fee 1 month Extension of Time - \$55.00)
4. Copy of Notice to File Missing Parts (2 pgs)
5. Verified Statement Claiming Small Entity Status (3 pgs)
6. Executed Declaration and Power of Attorney (3 pgs)
7. Transmittal of Formal Drawings (2 pgs)
8. 19 Sheets of Formal Drawings
9. Fee Transmittal (1 pg)
10. Return postcard

Check #477594 in the amount of \$120.00 is enclosed. The Commissioner is also authorized to charge any deficiencies in fees and credit any overpayment of fees to Deposit Account No. 07-1896.

Respectfully submitted,

GRAY CARY WARE & FREIDENRICH LLP

Dated: 5/18/2001

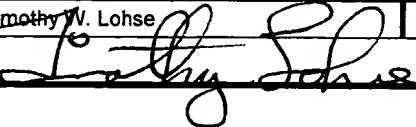
By   
Timothy W. Lohse  
Reg. No. 35,255  
Attorney for Applicant

GRAY CARY WARE & FREIDENRICH  
1755 Embarcadero Road  
Palo Alto, CA 94303-3340  
Telephone: (650) 320-7400

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

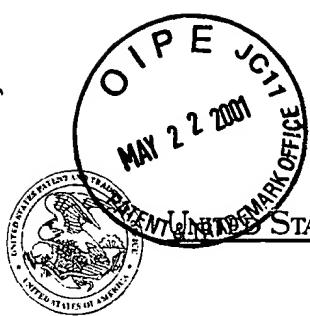
 <b>OPI FEE TRANSMITTAL</b> <b>for FY 2001</b> <small>Patent fees are subject to annual revision.</small>		<b>Complete if Known</b> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td>09/764,548</td> </tr> <tr> <td>Filing Date</td> <td>January 18, 2001</td> </tr> <tr> <td>First Named Inventor</td> <td>Christopher A. Rygaard</td> </tr> <tr> <td>Examiner Name</td> <td>Not Assigned</td> </tr> <tr> <td>Group Art Unit</td> <td>Not Assigned</td> </tr> <tr> <td>Attorney Docket No.</td> <td>1010722-991103</td> </tr> </table>		Application Number	09/764,548	Filing Date	January 18, 2001	First Named Inventor	Christopher A. Rygaard	Examiner Name	Not Assigned	Group Art Unit	Not Assigned	Attorney Docket No.	1010722-991103
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<b>TOTAL AMOUNT OF PAYMENT</b>		(\$120.00)													

<b>METHOD OF PAYMENT</b> 1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 15%;">Deposit Account Number</td> <td>07-1896</td> </tr> <tr> <td>Deposit Account Name</td> <td></td> </tr> </table> <p> <input checked="" type="checkbox"/> Charge Any additional Fee Required  Under 37 CFR 1.16 and 1.17  <input checked="" type="checkbox"/> Applicant claims small entity status.  See 37 CFR 1.27 </p>		Deposit Account Number	07-1896	Deposit Account Name		<b>FEE CALCULATION (continued)</b> <b>3. ADDITIONAL FEES</b> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 15%;">Large Entity Fee Code</th> <th style="width: 15%;">Fee (\$)</th> <th style="width: 15%;">Small Entity Fee Code</th> <th style="width: 15%;">Fee (\$)</th> <th style="width: 40%;">Fee Description</th> <th style="width: 15%;">Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td>65.00</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for ex parte reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td>55.00</td></tr> <tr><td>116</td><td>390</td><td>216</td><td>195</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>890</td><td>217</td><td>445</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,390</td><td>218</td><td>695</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,890</td><td>228</td><td>945</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>310</td><td>219</td><td>155</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510*</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>11</td><td>0240</td><td>55</td><td>Petition to revive - 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<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>			
Name (Print/Type)	Timothy V. Lohse	Registration No. (Attorney/Agent)	35,255	Telephone	650-833-2000
Signature			Date	5/18/2001	

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

**Burden Hour Statement:** This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORM TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/764,548	01/18/2001	Christopher A. Rygaard	1010722-991103

CONFIRMATION NO. 6480  
FORMALITIES LETTER

Timothy W. Lohse  
GRAY CARY WARE & FREIDENRICH LLP  
3340 Hillview Avenue  
Palo Alto, CA 94304



\*OC000000005810530\*

Date Mailed: 02/28/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

05/24/2001 DTESEM1 00000026 09764548

02 FC:205

65.00 OP

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

The following item(s) appear to have been omitted from the application:

- Figure(s) 19 20 described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the Patent and

Trademark Office (PTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(i))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the PTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the PTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h)) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the PTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the PTO, and original application papers (i.e., the original disclosure of the invention) will include only those application papers present in the PTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 1 - ATTORNEY/APPLICANT COPY

**PART 1 - ATTORNEY/APPLICANT COPY**